# IAP7 Rec'd PCT/PTO 12 JUN 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 2400.0130000/SRL/BLS DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/562,919**CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/EP2004/006610 INTERNATIONAL FILING DATE 18 June 2004 (18.06.2004) PRIORITY DATE CLAIMED 1 July 2003 (01.07.2003) TITLE OF INVENTION 3-Pyridylcarboxamide Derivatives As Pesticidal Agents APPLICANT(S) FOR DO/EO/US Koichi Araki et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15 l A substitute specification. A power of attorney and/or change of address letter. 17 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1,821-1,825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/562,919				INTERNATIONAL APPLICATION NO. PCT/EP2004/006610		ATTORNEY'S DOCKET NUMBER 2400.0130000/SRL/BLS		
а	20. Other items or information: a. Copy of the Notification of Missing Requirements; b. Request to Begin National Examination Production and c. Return postcard.								
F	The foll	The following fees have been submitted					ONS	PTO USE ONLY	
							-		
-	22. X Exan	nination fee (37 CF	R 1.492(c))			alread	y paiu		
	If the written opin	nion prepared by IS /US indicates all cla	\$ 2	00.00					
;	23. X Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)								
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ָּ֖֟֞֟֞֞֓֞֟֟֟ <u>֚</u>	sequenc electroni	TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in ar electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					<i>y</i> 0.00		
	Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)	RATE				
	131 - 100 =	31 /50 =		1	× \$250	\$ 2	250.00		
		harge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration the date of commencement of the national stage (37 CFR 1.492(h)).				<b>\$</b>	130.00		
	CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
	Total claims		11 -20=	0	× \$50	\$	-0-		
	Independent clai	ms	1 -3=	0	× \$200	\$	-0-		
	MULTIPLE DEPI	ENDENT CLAIM(S	i) (if applicable)	yes	+ \$360	\$	360.00		
L				TOTAL OF ABOVE		\$ 1,3	340.00		
L	Applicant cla	ims small entity st	atus. See 37 CFI	R 1.27. Fees above are reduce	ed by 1/2.		-0-		
L			SUBTOTAL =	\$ 1,3	340.00				
	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).								
L			\$ 1,3	340.00					
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						-0-		
Γ				TOTAL FE	ES ENCLOSED =	\$ 1,3	340.00		
- 1	FREYA 0000	0126 10552319		-		Amount to be refunded:		\$	
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a. 🗌	A check in the amount of \$	to cover the above fees is enclosed.						
ъ. 🗆	Please charge my Deposit Account No.  A duplicate copy of this sheet is enclosed.	in the amount of \$ to cover the above fees.						
c. 🗓	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0036</u> . A duplicate copy of this sheet is enclosed.							
d. X	Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND A	LL CORRESPONDENCE TO:	vaz elek						
CUS	STOMER NUMBER 26111	SIGNATURE Drawn I. Skalton						
	STOMER NOMBER 20111	Bryan L. Skelton						
		50,893						
		REGISTRATION NUMBER						
		·						



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Virginia 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/562,919 Koichi Araki 2400.0130000/SRL INTERNATIONAL APPLICATION NO.

PCT/EP04/06610

I.A. FILING DATE 06/18/2004

PRIORITY DATE 07/01/2003

26111 STERNE, KESSLER, GOLDSTEIN & FOX PLLC 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005

**CONFIRMATION NO. 8579 371 FORMALITIES LETTER** 

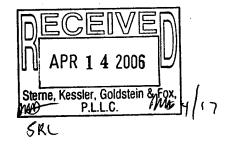
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Date Mailed: 04/11/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/29/2005
- Copy of the International Search Report filed on 12/29/2005
- U.S. Basic National Fees filed on 12/29/2005
- Priority Documents filed on 12/29/2005
- Specification filed on 12/29/2005
- Claims filed on 12/29/2005
- Abstracts filed on 12/29/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$360 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Excess Fee, Dec/ Cate outh/Dec/ surcharge, Apply size Fe, search Fee.

Examination Fee due June 11, 2006 Stat BAN live Noblember 11, 2006

Total additional fees required for this application is \$1340 for a Large Entity:

- \$130 Surcharge.
  - The specification and drawings contain more than 100 pages. Applicant owes \$250 for 32 pages in excess of 100 pages for a non-small entity.
  - The application search fee has not been paid. Applicant must submit \$400 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
  - The application examination fee has not been paid. Applicant must submit \$200 to complete the examination fee for a non-small entity. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is \$ 360
  - \$360 for multiple dependent claim surchare.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/562.919	PCT/EP04/06610	2400.0130000/SRL

FORM PCT/DO/EO/905 (371 Formalities Notice)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Araki et al.

Appl. No.: 10/562,919 (U.S. National

Phase of PCT/EP2004/006610)

Int'l Filing Date: June 18, 2004

For: 3-Pyridylcarboxamide Derivatives as

**Pesticidal Agents** 

Confirmation No.: 8579

Art Unit: (to be assigned)

Examiner: (to be assigned)

Atty. Docket: 2400.0130000/SRL/BLS

# Request to Begin National Examination Procedures

Mail Stop PCT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby expressly request that national examination procedures commence in the United States for International Patent Application No. PCT/EP2004/006610. A copy of the International Application as filed was communicated by the International Bureau. An English-language translation of the International Application is not required since the International Application is in the English language. A Declaration executed by the inventors is filed concurrently herewith. The basic national fee, examination fee and search fee have been paid. Thus, the request to begin national examination procedures is proper.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Bryan L. Skelton Agent for Applicants

Registration No. 50,893

Date: \_\_\_\_June 12, 2006

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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